

Rec'd PCT/PTO 26 AUG 2005

PTO/SB/01 (04-05)

Approved for use through 07/31/2006. OMB 0651-0032

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration
Submitted
With Initial
Filing

OR

☒ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number	ALLIA.403US1
First Named Inventor	Bot
COMPLETE IF KNOWN	
Application Number	10/527,931
Filing Date	9/18/2003
Art Unit	
Examiner Name	

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Methods and Compositions to generate and control the effector profile of T cells by
simultaneous loading and activation of selected subsets of antigen presenting cells

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) March 16, 2005 as United States Application Number or PCT International

Application Number 10/527,931 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PCT/US2003/030188	PCT	September 18, 2003	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PCT/US2003/07995	PCT	March 14, 2003	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
U.S. 60/412,219	U.S.	September 20, 2002	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DECLARATION — Utility or Design Patent Application

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Name Astral, Inc.			
Address 4660 La Jolla Village Dr., Suite 825			
City San Diego		State CA	ZIP 92128
Country USA	Telephone 858-410-5174	Email jwurst@alip.com	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) <u>Adrian</u>		Family Name or Surname <u>Bot</u>	
Inventor's Signature <u>Adrian Bot</u>		Date <u>08-16-05</u>	
Residence: City <u>Valencia</u>	State CA <u>CA</u>	Country USA	Citizenship Romania
Mailing Address 27003 Carmelita Dr.			
City Valencia	State CA	Zip 91355	Country USA
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any)) <u>Lilin</u>		Family Name or Surname <u>Wang</u>	
Inventor's Signature		Date	
Residence: City <u>San Diego</u>	State CA <u>CA</u>	Country USA	Citizenship China
Mailing Address 12665 Camino Mira Del Mar Rd. #207			
City San Diego	State California	Zip 92130	Country USA
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.			

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Address 4660 La Jolla Village Dr., Suite 825				
City San Diego		State CA		ZIP 92128
Country USA	Telephone 858-410-5174		Email jwurst@allp.com	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.				
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any)) Adrian		Family Name or Surname Bol		
Inventor's Signature				Date
Residence: City Valencia	State CA	Country USA	Citizenship Romania	
Mailing Address 27003 Camelia Dr.				
City Valencia	State CA	Zip 91355	Country USA	
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name (first and middle (if any)) Lilin		Family Name or Surname Wang		
Inventor's Signature <i>Lilin Wang</i>				Date 8/9/2005
Residence: City San Diego	State CA	Country USA	Citizenship China	
Mailing Address 12665 Camino Mira Del Mar Rd. #207				
City San Diego	State California	Zip 92130	Country USA	
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.				

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet
Page <u>3</u> of <u>3</u>	

Name of Additional Joint Inventor, if any: <input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname	
Dan		Smith	
Inventor's Signature <i>[Signature]</i>			Date <u>8-9-05</u>
San Diego	CA	USA	USA
Residence: City	State	Country	Citizenship
4004 Camenito Meliado			
Mailing Address			
San Diego	CA	92122	USA
City	State	Zip	Country
Name of Additional Joint Inventor, if any: <input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname	
Bill		Phillips	
Inventor's Signature <i>[Signature]</i>			Date <u>8/9/05</u>
San Diego	CA	USA	USA
Residence: City	State	Country	Citizenship
11005 Morning Creek Dr., South			
Mailing Address			
San Diego	CA	92128	USA
City	State	Zip	Country
Name of Additional Joint Inventor, if any: <input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	Zip	Country

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